

Performance Agreement for the financial year 1 July 2021 – 30 June 2022

DIRECTORATE: FINANCIAL SERVICES
CHIEF FINANCIAL OFFICER

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PERFORMANCE AGREEMENT

MADE AND ENTERED INTO BY AND BETWEEN KANNALAND MUNICIPALITY HEREBY REPRESENTED BY:

THE "ACTING" MUNICIPAL MANAGER

(herein and after referred as Employer)

AND

CHIEF FINANCIAL OFFICER

ROLIND FRED BUTTER (herein and after referred as Employee)

FOR THE FINANCIAL YEAR: 01 JULY 2021 – 30 JUNE 2022

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1. INTRODUCTION

- 1.1 The Employer, duly represented by Mr Morne Hoogbaard, in his capacity as the Acting Municipal Manager, has entered into a contract of employment with the Employee, Mr Roland Butler, in the capacity as Chief Financial Officer, in terms of section 57(1)(a) of the Local Government: Municipal Systems Act 32 of 2000 ("the Systems Act"). The Employer and the Employee are hereinafter referred as "the Parties";
- 1.2 Section 57(1)(b) of the Systems Act, read with the Contract of Employment concluded between the parties, requires the Parties to conclude an annual performance agreement;
- 1.3 The Parties wish to ensure that they are clear about the goals to be achieved, and secure the commitment of the Employee to a set of outcomes that will promote local government goals; and
- 1.4 The Parties wish to ensure that there is compliance with Sections 57(4B) and 57(5) of the Systems Act.

2. INTERPRETATION

- 2.1 In this Agreement the followings terms will have the meaning ascribed thereto:
- 2.1.1 "this Agreement" means the performance agreement between the Employer and the Employee and the Annexures thereto;
- 2.1.2 "the Municipal Manager" means the municipal manager is the head of administration of the Municipality constituted in terms of Section 54 of the Local Government: Municipal Systems Act 32 of 2000 ("amendment");
- 2.1.3 "the Employee" means the Director appointed in terms of Section 56 of the Municipal Systems Act;
- 2.1.4 "the Employer" means the Kannaland Municipality; and
- 2.1.5 "the Parties" means the Employer and Employee.

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3. PURPOSE OF THIS AGREEMENT

- 3.1 To comply with the provisions of Section 57(1)(b),(4B) and (5) of the Systems Act as well as the Contract of Employment entered into between the Parties;
- 3.2 To specify objectives and targets established for the Employee and to communicate to the Employee the Employer's expectations of the Employee's performance targets and accountabilities:
- 3.3 To specify accountabilities as set out in the Performance Plan (Annexure A);
- 3.4 To monitor and measure performance against set targeted outputs and outcomes:
- 3.5 To establish a transparent and accountable working relationship;
- 3.6 To appropriately reward the employee in accordance with section 12 of this agreement; and
- 3.7 To give effect to the Employer's commitment to a performance-orientated relationship with the Employee in attaining improved service delivery.

4. COMMENCEMENT AND DURATION

- 4.1 Irrespective the date of signature of this agreement,it will be effective and commence on and from 01st July 2021 and will remain in force until 30th June 2022 where-after a new Performance Agreement shall be concluded between the parties for the next financial year or any portion thereof;
- 4.2 The Parties shall conclude a new Performance Agreement that replaces this Agreement at least once a year by not later than 30th of September of the succeeding financial year;
- 4.3 This Agreement shall terminate on the termination of the Employee's contract of employment for any reason;
- 4.4 If at any time during the validity of the agreement the work environment alters to the extent that the contents of the agreement are no longer appropriate, the contents must by mutual agreement between the parties, immediately be revised; and
- 4.5 Any significant amendments or deviations must take cognizance of the requirements of sections 34 and 42 of the Municipal Systems Act and Regulation 4(5) of the Regulations.

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5. PERFORMANCE OBJECTIVES

- 5.1 The Performance Plan (Annexure A) sets out -
- 5.1.1 The performance objectives and targets that must be met by the Employee:
- 5.1.2 The timeframes within which those performance objectives and targets must be met; and
- 5.1.3 The competencies (Annexure B definitions in terms of Regulation 21 of 17 January 2014) required to operate effectively as senior managers in the local government environment.
- 5.2 The performance objectives and targets reflected in Annexure A are set by the Employer in consultation with the Employee and based on the Integrated Development Plan, Service Delivery and Budget Implementation Plan (SDBIP) and the Budget of the Employer, and shall include:
- 5.2.1 Key objectives that describe the main tasks that need to be done;
- 5.2.2 Key performance indicators that provide the details of the evidence that must be provided to show that a key objective has been achieved by the employee;
- 5.2.3 Target dates that describe the timeframe in which the targets must be achieved; and
- 5.2.4 Weightings showing the relative importance of the key objectives to each other.
- 5.3 The Personal Development Plan (Annexure C) sets out the Employee's personal development requirements in line with the objectives and targets of the Employer; and
- 5.4 The Employee's performance shall, in addition, be measured in terms of contributions to the goals and strategies set out in the Employer's Integrated Development Plan.

6. PERFORMANCE MANAGEMENT SYSTEM

- 6.1 The Employee agrees to participate in the performance management system that the Employer adopted for the employees of the Employer;
- 6.2 The Employee accepts that the purpose of the performance management system will be to provide a comprehensive system with specific performance standards to assist the employees and service providers to perform to the standards required;

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- 6.3 The Employer must consult the Employee about the specific performance standards and targets that will be included in the performance management system applicable to the Employee;
- 6.4 The Employee undertakes to actively focus on the promotion and implementation of the key performance indicators (including special projects relevant to the employee's responsibilities) within the local government framework;
- 6.5 The criteria upon which the performance of the Employee shall be assessed shall consist of two components, Operational Performance and Competencies both of which shall be contained in the Performance Agreement;
- 6.6 The Employee's assessment will be based on his performance in terms of the outputs/outcomes (performance indicators) identified as per attached Performance Plan, which are linked to the KPAs, and will constitute 80% of the overall assessment result as per the weightings agreed to between the Employer and Employee;

KEY PERFORMANCE AREAS	
KPA 1: Reliable Infrastructure	
KPA 2: Adequate Basic Service Delivery	
KPA 3: Safe Communities	
KPA 4: Socio and Local economic development	
KPA 5: Good Governance	
KPA 6: Efficient Workforce	
KPA 7: Financial Viability	

6.7 The Competencies will make up the other 20% of the Employee's assessment score. The Competencies are spilt into two groups, **leading** competencies that drive strategic intent and direction and **core** competencies, which drive the execution of the leading competencies (set out in Annexure B).

7. PERFORMANCE ASSESSMENT

- 7.1 The Performance Plan (Annexure A) to this Agreement sets out key performance indicators and competencies that needs to be evaluated at set intervals in terms of –
- 7.1.1 The standards and procedures for evaluating the Employee's performance;
- 7.2 Despite the establishment of agreed intervals for evaluation, the Employer may in addition review the Employee's performance at any stage while the contract of employment remains in force;
- 7.3 Personal growth and development needs identified during any performance review discussion must be documented in a Personal Development Plan as well as the actions agreed to and implementation must take place within set time frame.

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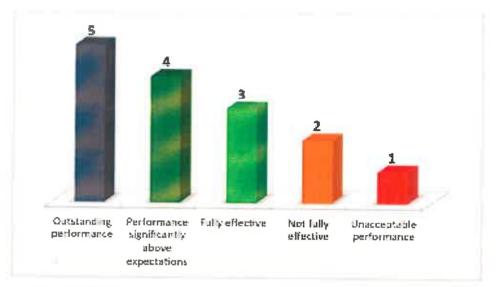
- 7.4 The Employee's performance will also be measured in terms of contributions to the goals and strategies set out in the Employer's Integrated Development Plan (IDP) as described in 7.6 7.13 below;
- 7.5 The Employee shall submit quarterly performance reports (SDBIP) and a comprehensive annual performance report at least one week prior to the performance assessment meetings to the Evaluation Panel Chairperson for distribution to the panel members for preparation purposes;
- 7.6 Assessment of the achievement of results as outlined in the performance plan
- 7.6.1 Each KPI or group of KPIs shall be assessed according to the extent to which the specified standards or performance targets have been met (qualitative and quantitative) and with due regard to ad- hoc tasks that had to be performed under the KPI;
- 7.6.2 A rating on the five-point scale described in 7.9 below shall be provided for each KPI or group of KPIs which will then be multiplied by the weighting to calculate the final score;
- 7.6.3 The Employee shall submit his self-evaluation to the Employer prior to the formal assessment;
- 7.6.4 In the instance where the employee could not perform due to reasons outside the control of the employer and employee, the KPI will not be considered during the evaluation. The employee should provide sufficient evidence in such instances; and
- 7.6.5 An overall score will be calculated based on the total of the individual scores calculated above.
- 7.7 Assessment of the Competencies:
- 7.7.1 Each Competency shall be assessed in terms of the descriptions provided (Annexure B) during the mid-year and year-end reviews;
- 7.7.2 A rating on the five-point scale described 7.10 below shall be provided for each Competency which will then be multiplied by the weighting to calculate the final score; and
- 7.7.3 An overall score shall be calculated based on the total of the individual scores calculated above.

7.8 Overall rating

7.8.1 An overall rating is calculated by adding the overall scores as calculated in 7.6.5 and 7.7.3 above; and

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- 7.8.2 Such overall rating represents the outcome of the performance appraisal.
- 7.9 The assessment of the performance of the Employee will be based on the following rating scale for KPIs:



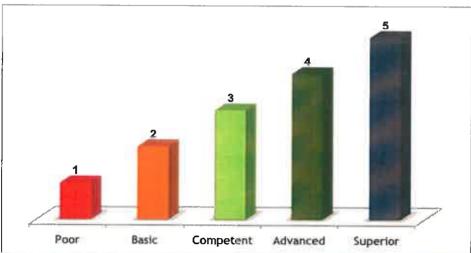
Terminology	Description
Outstanding performance	Performance far exceeds the standard expected of an employee at this level. The appraisal indicates that the Employee has achieved above fully effective results against all performance criteria and indicators as specified in the PA and Performance plan and maintained this in all areas of responsibility throughout the year.
Performance significantly above expectations	Performance is significantly higher than the standard expected in the job. The appraisal indicates that the Employee has achieved above fully effective results against more than half of the performance criteria and indicators and fully achieved all others throughout the year.
Fully effective	Performance fully meets the standards expected in all areas of the job. The appraisal indicates that the Employee has fully achieved effective results against all significant performance criteria and indicators as specified in the PA and Performance Plan.
Not fully effective	Performance is below the standard required for the job in key areas. Performance meets some of the standards expected for the job. The review/assessment indicates that the employee has achieved below fully effective results against more than half the key performance criteria and indicators as specified in the PA and Performance Plan.
Unacceptable performance	Performance does not meet the standard expected for the job. The review/assessment indicates that they employee has achieved below fully effective results against almost all of the performance criteria and indicators as specified in the PA and Performance Plan. The employee has failed to demonstrate the commitment or ability to bring performance up to the level expected in the job despite management efforts to encourage improvement.

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7.10 The assessment of the competencies will be based on the following rating scale:



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Achievement Level	Description
Poor	Do not apply the basic concepts and methods to proof a basic understanding of local government operations and requires extensive supervision and development interventions.
Basic	Applies basic concepts, methods, and understanding of local government operations, but requires supervision and development intervention.
Competent	Develops and applies more progressive concepts, methods and understanding. Plans and guides the work of others and executes progressive analysis.
Advanced	Develops and applies complex concepts, methods and understanding. Effectively directs and leads a group and executes in-depth analysis.
Superior	Has a comprehensive understanding of local government operations, critical in strategic shaping strategic direction and change, develops and applies comprehensive concepts and methods.

- 7.11 For purposes of evaluating the annual performance of the Employee, an evaluation panel constituted of the following persons shall be established –
- 7.11.1 Municipal Manager;
- 7.11.2 Municipal Manager from another municipality;
- 7.11.3 Chairperson of the Performance Audit Committee or in his/her absence thereof, the Chairperson of the Audit Committee; and
- 7.11.4 The Member of the Mayoral Committee (Portfolio Chairperson).

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- 7.12 The Municipal Manager will evaluate the performance of the Employee as at the end of the 1st , 2nd, 3rd and 4th quarters and document a summary of the discussions;
- 7.13 The Municipal Manager will evaluate core competencies at mid-year and annual evaluations.

8. SCHEDULE FOR PERFORMANCE REVIEWS

8.1 The performance of the Employee in relation to his performance agreement shall be reviewed for the following quarters with the understanding that the reviews in the first and the third quarter may be verbal if performance is satisfactory:

Quarter	Months	Review completed by
1	July - September	31 October 2021 (informal)
2	October - December	28 February 2022 (formal)
3	January – March	30 April 2021 (informal)
4	April – June	30 November 2022 (formal)
	Annual Performance Evaluations	

- 8.2 The Employer will keep a record of the mid -year and annual assessment meetings;
- 8.3 Performance feedback will be based on the Employer's assessment of the Employee's performance;
- 8.4 The Employer will be entitled to review and make reasonable changes to the provisions of Annexure A from time to time for operational reasons. The Employee will be fully consulted before any such change is made; and
- 8.5 The Employer may amend the provisions of Annexure A whenever the performance management system is adopted, implemented and/or amended as the case may be. In that case, the Employee will be fully consulted before any such change is made.

9. DEVELOPMENTAL REQUIREMENTS

9.1 The Personal Development Plan (PDP) for addressing developmental gaps is attached as Annexure C. Such Plan may be implemented and/or amended as the case may be after the assessment. In that case, the Employee will be fully consulted before any such change or plan is made.

10. OBLIGATIONS OF THE EMPLOYER

- 10.1 The Employer will-
- 10.1.1 Create an enabling environment to facilitate effective performance by the employee;
- 10.1.2 Provide access to skills development and capacity building opportunities;
- 10.1.3 Work collaboratively with the Employee to solve problems and generate solutions

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- to common problems that may impact on the performance of the Employee;
- 10.1.4 On the request of the Employee delegate such powers reasonably required by the Employee to enable him to meet the performance objectives and targets established in terms of this Agreement; and
- 10.1.5 Make available to the Employee such resources as the Employee may reasonably require from time to time assisting him to meet the performance objectives and targets established in terms of this Agreement.

11 CONSULTATION

- 11.1 The Employer agrees to consult the Employee timeously where the exercising of its powers will have amongst others-
- 11.1.1 A direct effect on the performance of any of the Employee's functions;
- 11.1.2 Commit the Employee to implement or to give effect to a decision made by the Employer; and
- 11.1.3 A substantial financial effect on the Employer.
- 11.2 The Employer agrees to inform the Employee of the outcome of any decisions taken pursuant to the exercise of powers contemplated in clause 13.1 as soon as is practicable to enable the Employee to take any necessary action without delay.

12. REWARD

- 12.1 The evaluation of the Employee's performance will form the basis for acknowledging outstanding performance or correcting unacceptable performance;
- 12.2 The payment of the performance bonus is determined by the performance score obtained during the 4th quarter and as informed by the quarterly performance assessments:
- 12.3 The performance bonus will be awarded based on the following scheme:

Performance Rating		Bonus Calculation
0% - 64%	Poor Performance	0% of total package
65% - 69%	Average Performance	5% of total package
70% - 74%	Fair Performance	9% of total package
75% - 79%	Good Performance	11% of total package
80% - 100%	Excellent Performance	14% of total package

12.4 In the event of the Employee terminating his services during the validity period of this Agreement, but only after three months after the start of this agreement's inception date, the Employee's performance will be evaluated for the period during which he/she was employed and he/she will be entitled to a pro-rata performance bonus based on his/her evaluated performance for the period of actual service; and

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12.5 The Employer will submit the total score of the annual assessment and of the Employee, to full Council for purposes of recommending the bonus allocation.

13. MANAGEMENT OF EVALUATION OUTCOMES

- 13.1 Where the Employer is, any time during the Employee's employment, not satisfied with the Employee's performance with respect to any matter dealt with in this Agreement, the Employer will give notice to the Employee to attend a meeting:
- 13.2 The Employee will have the opportunity at the meeting to satisfy the Employer of the measures being taken to ensure that his performance becomes satisfactory and any programme, including any dates, for implementing these measures;
- 13.3 Where there is a dispute or difference as to the performance of the Employee under this Agreement, the Parties will confer with a view to resolving the dispute or difference; and
- 13.4 In the case of unacceptable performance, the Employer shall -
- 13.4.1 Provide systematic remedial or developmental support to assist the Employee to improve his performance; and
- 13.4.2 After appropriate performance counselling and having provided the necessary guidance and/or support as well as reasonable time for improvement in performance, the Employer may consider steps to terminate the contract of employment of the Employee on grounds of unfitness or incapacity to carry out his or her duties.

14. DISPUTE RESOLUTION

- 14.1 In the event that the Employeeis dissatisfied with any decision or actiom of the Employer in terms of this Agreement, or where a dispute or difference arises as to the extent to which the Employee has achieved the performance objectives and targets established in terms of this Agreement, the Employee may within three (3) business day, meet with the Employer with a view to resolving the issue. The Employer will record the outcime of the meeting in writing.
- 14.2 If the Parties cannot resolve the issues within ten (10) business days, an independent arbitrator, acceptable to both parties, will be appointed to resolve the matter within thirty (30) business days; and
- 14.3 In the instances where the matters referred to in 14.2 were not successful, the matter should be referred to the MEC for Local Government in the Province within thirty (30) days of receipt of a formal dispute from the Employee or any other person appointed by the MEC; and
- 14.4 In the event that the mediation process contemplated above fails, the relevant clause of the Contract of Employment shall apply.

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15. GENERAL

- 15.1 The contents of this agreement and the outcome of any review conducted in terms of Annexure A may be made available to the public by the Employer; and
- 15.2 Nothing in this agreement diminishes the obligations, duties or accountabilities of the Employee in terms of his contract of employment, or the effects of existing or new regulations, circulars, policies, directives or other instruments.

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Thus done and signed at Ladusmuth	on the <u>30</u> day of <u>Sept</u> of 2021.
AS WITNESSES:	
1	ACTING MUNICIPAL MANAGER
2. Thus done and signed at	on the <u>20th</u> day of <u>September</u> of 2021
AS WITNESSES:	
1	DIRECTOR: FINANCIAL SERVICES KOLAMA MED BUTLEY
2.	

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Tributed to	Weight						
	3		4478	4820	2560	4820	2560
Targets	8		4473	4813	2560	3	2550
	70		4470	4810	2540	*	2540
	5		4465	4805	2340	9	2340
Portfolio of	evidence		Debtors listing from samras	Debtors listing from samras	Debtors listing from samras	Debtors listing from samras	Indigent listing
Raceline	- Control of the Cont		4915	4820	2560	4805	2572
Unit of	Measurement	as per SDBIP Dashboard report	Number of residential properties which are billed for sewerage services as at 30 June 2022	Number of residential properties which are billed for refuse removal services as at 30 June 2022	Number of Households with accessto free basic services (as per Indigent Register)	Number of residential properties which are billed for refuse removal services as at 30 June 2022	Number of Households
Key Performance	indicator (KPi)		Number of formal residential properties connected to the municipal waste water sanitation/sewerage network billed for the service as at 30 June 2022	Number of formal residential properties for which refuse is removed once per week and billed for the service as at 30 June 2022	Number of Households with access tofree basic services (as per Indigent Register) by 30 June 2022	Number of formal residential properties for which refuse is removed once per week and billed for the service as at 30 June 2022	Number of Households with
MUNICIPAL KPA	The Shirt of the S		KPA 2: To Provide adequate Services and improve our Public relations	KPA 2: To Provide adequate Services and improve our Public relations	KPA 2: To Provide adequate Services and improve our Public relations	KPA 2: To Provide adequate Services and improve our Public relations	KPA 2:
Ref No			NKPI 1	NKPI 2	NKPI 3	NKPI 4	NKPI 5

The Performance Plan sets out:

- Key Performance Areas that the employee should focus on, performance objectives, key performance indicators and targets that must be met within a specific timeframe; and a
- The Competencies required from employees prescribed in the Regulations on the appointment and conditions of employment of senior managers, R21 of 2014. 9

Performance should be evaluated:

- Quarterly of which the annual evaluation must be done by the panel as constituted in the agreement;
- b) Performance should be assessed on a scale of 1 5 as outlined in the agreement;
- In the instance where an indicator do not have a target or is not applicable due to valid reason or where the performance could not be delivered for a valid reason outside of the control of employee, the indicator will not be evaluated, the weighting will be cancelled and the score total will be re-calculated to calculate the final score; (c)
- The employee must submit his/her assessment of his/her own performance to the employer three days prior to the assessment date. ਰੇ



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Targets	8		25%	m	ş.
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Portfolio of	evidence		AFS	Completed recons validated by the BTO	Proof of submission
Beseline			6.29	12	1
Unit of	Measurement	Conditional Grants - Overdraft) + Short Term Investment) / Monthly Fixed Operational Expenditure excluding (Depreciation, Amortization, and Provision for Bad Debts, Impairment and Loss on Disposal of Assets)).	Debt coverage ratio calculated as follows: (Total revenue received – Total grants)/debt service payments due within the year)	Number of reconciliations completed	Number of completed annual
Key Performance	Indicator (KPI)	Cost coverage ratio	Financial Viability measured in terms of debt coverage ratio for the financial year	Conduct monthly reconciliation of the bank account within 10 working days	Financial Statements submitted to the
MUNICIPAL KPA	The state of the s	financially sustainable municipality	KPA 7: To Strive towards a financially sustainable municipality	KPA 7: To Strive towards a financially sustainable municipality	KPA 7:
Ref No	The state of the s		NK PI 8	KPI 33	KPI 34

COMPETENCIES

The competencies required from employees prescribed in the Regulations on the appointment and conditions of employment of senior managers, R21 of 2014. The assessment of these competencies will account for twenty percent of the total employee assessment score.

Annexure B describes the different achievement levels for each Competency and should therefore form part of this section of the Performance Plan.

Competency	Definition	Weight
	LEADING COPETENCIES	
Strategic direction and leadership	Provide and direct a vision for the institution, and inspire and deploy others to deliver on the strategic institutional mandate. It includes: Impact and influence Institutional performance management Strategic planning and management Organisational awareness	1.67
People management	Effectively manage, inspire and encourage people, respect diversity, optimise talent and build and nurture relationships in order to achieve institutional objectives. It includes: Human capital planning and development Diversity management Employee relations management Negotiation and dispute management	1.67
Programme and project management	Able to understand program and project management methodology, plan, manage, monitor and evaluate specific activities in order to deliver on set objectives. It includes: • Program and project planning and implementation • Service delivery management • Program and project monitoring and evaluation	1.67
Financial management	Able to compile, plan and manage budgets, control cash flow, institute financial risk management and administer procurement processes in accordance with recognised financial practices. Further to ensure that all financial transactions are managed in an ethical manner. It includes: • Financial strategy and delivery • Financial reporting and delivery	1.67
Change leadership	Able to direct and initiate transformation on all levels in order to successfully drive and implement new initiatives and deliver professional and quality services to the community. It includes: Change vision and strategy Process design and improvement Change impact monitoring and evaluation	1.67



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		Knowledge and information management Communication
		Knowledge and information management
ve 1.67	Able to promote the generation and sharing of knowledge and information through various processes and media in order to enhance the collective	
itional 1.67	Able to critically analyse information, challenges and trends to establish and implement fact-based solutions that are innovative to improve institutional processes in order to achieve key strategic objectives.	Analysis and innovation
plans to 1.67	Able to plan, prioritise and organise information and resources effectively to ensure the quality of service delivery and build efficient contingency plans to manage risk.	Planning and organising
1.67	Able to identify moral triggers, apply reasoning that promotes honesty and integrity and display behaviour that reflects moral competence.	Moral competence
	CORE COMPETENCIES	
	Risk and compliance management Cooperative governance	
ance includes: 1.67	Able to promote, direct and apply professionalism in managing risk and compliance requirements and apply a thorough understanding of governance practices and obligations. Further, able to direct the conceptualisation of relevant policies and enhance cooperative governance relationships. It includes: Policy formulation	Governance leadership
Weight	Definition	Compétency



Competency Framework



CLUSTER:	LEADING COMPETENCIES		
COMPETENCY NAME:	Strategic Direction and Leadership		
COMPETENCY DEFINITION:	Provide and direct a vision for the institution, and inspire		and deploy others to deliver on the strategic institutional mandate
	ACHIEVEMENT LEVELS	ENT	
BASIC	COMPETENT	ADVANCED	SUPERIOR
 Understand Institutional and departmental strategic objectives, but lacks the ability to inspire others to achieve set mandate 	 Give direction to a team in realising the institution's strategic mandate and set objectives 	 Evaluate all activities to determine value and alignment to strategic intent 	 Structure and position the institution to local government priorities
 Describe how specific tasks link to institutional strategies but has limited influence in directing a strategy 	 Has a positive impact and influence on the morale, engagement and participation of team members 	 Display in-depth knowledge and understanding of strategic planning 	 Actively use in-depth knowledge and understanding to develop and implement a comprehensive institutional framework
 Has a basic understanding of institutional performance management, but lacks the ability to integrate systems into a collective whole 	 Develop action plans to execute and guide strategy 	 Align strategy and goals across all functional areas 	 Hold self-accountable for strategy execution and results
 Demonstrate basic understanding of key decision makers 	 Assist in defining performance measures to monitor the progress and effectiveness of the institution 	 Actively define performance measures to monitor the progress and effectiveness of the institution 	 Provide impact and influence through building and maintaining strategic relationships
	 Displays an awareness of institutional structures and political factors 	 Consistently challenge strategic plans to ensure relevance 	 Create an environment that facilitates loyalty and innovation. Display a superior level of self-discipline and integrity in actions
	 Effectively communicate barriers to execution to relevant parties 	 Understand institutional structures and political factors, and the consequences of actions 	 Integrate various systems into a collective whole to optimise institutional performance management
	 Provide guidance to all stakeholders in the achievement of the strategic mandate 	 Empower others to follow the strategic direction and deal with complex situations 	 Uses understanding of competing interests to maneuver successfully to a win/win outcome
	 Understand the aim and objectives of the institution and relate it to own work 	 Guide the institution through complex and ambiguous concern 	^



CLUSTER:	LEADING COMPETENCIES		
COMPETENCY NAME:	Strategic Direction and Leadership		
COMPETENCY DEFINITION:	Provide and direct a vision for the in	Provide and direct a vision for the institution, and inspire and deploy others to deliver on the strategic institutional mandate	on the strategic institutional mandate
	A	ACHIEVEMENT LEVELS	
BASIC	COMPETENT	ADVANCED	SUPERIOR
		 Use understanding of power relationships and dynamic tensions among key players to frame communications and develop strategies, positions and cliencop 	

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CLUSTER:	LEADING COMPETENCIES		
COMPETENCY NAME:	People Management		
COMPETENCY DEFINITION:	Effectively manage, inspire and encourage people, respect relationships in order to achieve institutional objectives	e people, respect diversity, optimize talent and build and nurture nal objectives	and build and nurture
	ACHIEVEMENT LEVELS	ELS	
BASIC	COMPETENT	ADVANCED	SUPERIOR
 Participate in team goalsetting and problem solving 	 Seek opportunities to increase team contribution and responsibility 	 Identify ineffective team and work processes and recommend remedial interventions 	 Develop and incorporate best practice people management processes, approaches and tools across the institution
 Interact and collaborate with people of diverse backgrounds 	 Respect and support the diverse nature of others and be aware of the benefits of a diverse approach 	 Recognise and reward effective and desired behavior 	 Foster a culture of discipline, responsibility and accountability
 Aware of guidelines for employee development, but requires support in implementing development initiatives 	 Effectively delegate tasks and empower others to increase contribution and execute functions optimally 	 Provide mentoring and guidance to others in order to increase personal effectiveness 	 Understand the impact of diversity in performance and actively incorporate a diversity strategy in the institution
	 Apply relevant employee legislation fairly and consistently 	 Identify development and learning needs within the team 	Develop comprehensive integrated strategies and approaches to human capital development and management
	 Effectively identify capacity requirements to fulfill the strategic mandate 	 Inspire a culture of performance excellence by giving positive and constructive feedback to the team 	Actively identify trends and predict capacity requirements to facilitate unified transition and performance management
		 Achieve agreement or consensus in adversarial environments 	
		 Lead and unite diverse teams across divisions to achieve institutional objectives 	



CLUSTER:	LEADING COMPETENCIES		
COMPETENCY NAME :	Program and Project Management		
COMPETENCY DEFINITION:	Able to understand program and project management met in order to deliver on set objectives	nagement methodology; plan, manage, mo	thodology; plan, manage, monitor and evaluate specific activities
	ACHIEVEMEN:	S NENT	
BASIC	COMPETENT	ADVANCED	SUPERIOR
 Initiate projects after approval from higher authorities 	 Establish broad stakeholder involvement and communicate the project status and key milestones 	 Manage multiple programs and balance priorities and conflicts according to institutional goals 	 Understand and conceptualise the long- term implications of desired project outcomes
 Understand procedures of program and project management methodology, implications and stakeholder involvement 	 Define the roles and responsibilities of the project team and create clarity around expectations 	 Apply effective risk management strategies through impact assessment and resource requirements 	 Direct a comprehensive strategic macro and micro analysis and scope projects accordingly to realise institutional objectives
Understand the rational of projects in relation to the institution's strategic objectives	 Find a balance between project deadline and the quality of deliverables 	 Modify project scope and budget when required without compromising the quality and objectives of the project 	 Influence people in positions of authority to implement outcomes of projects
 Document and communicate factors and risk associated with own work 	 Identify appropriate project resources to facilitate the effective completion of the deliverables 	 Involve top-level authorities and relevant stakeholders in seeking project buy-in 	 Lead and direct translation of policy into workable action plans
 Use results and approaches of successful project implementation as guide 	 Comply with statutory requirements and apply policies in a consistent manner 	 Identify and apply contemporary project management methodology 	 Ensures that programs are monitored to track progress and optimal resource utilisation, and that adjustments are made as
	 Monitor progress and use of resources and make needed adjustments to timelines, steps 	 Influence and motivate project team to deliver exceptional results 	needed
	and resource allocation	 Monitor policy implementation and apply procedures to manage risks 	



CLUSIEK:	LEADING COMPETENCIES		
COMPETENCY NAME:	Financial Management		
COMPETENCY DEFINITION:	Able to compile, plan and manage budgets, control cash flow, institute financial risk management and administer procurement processes in accordance with recognised financial practices. Further to ensure that all financial transactions are managed in an ethical manner	ntrol cash flow, institute financial risk n cognised financial practices. Further to r	nanagement and administer ensure that all financial
	ACHIEVEMEN LEVELS	NT	
BASIC	COMPETENT	ADVANCED	SUPERIOR
 Understand basic financial concepts and methods as they relate to institutional processes and activities 	 Exhibit knowledge of general financial concepts, planning, budgeting and forecasting and how they interrelate 	 Take active ownership of planning, budgeting and forecasting processes and provides credible answers to gueries within own responsibility 	Develop planning tools to assist in evaluating and monitoring future expenditure trends
 Display awareness into the various sources of financial data, reporting mechanisms, financial governance, processes and systems 	 Assess, identify and manage financial risks 	 Prepare budgets that are aligned to the strategic objectives of the institution 	 Set budget frameworks for the institution
 Understand the importance of financial accountability 	 Assume a cost-saving approach to financial management 	 Address complex budgeting and financial management concerns 	 Set strategic direction for the institution on expenditure and other financial processes
 Understand the importance of asset control 	 Prepare financial reports based on specified formats 	 Put systems and processes in place to enhance the quality and integrity of financial management practices 	 Build and nurture partnerships to improve financial management and achieve financial savings
	 Consider and understand the financial implications of decisions and suggestions 	 Advise on policies and procedures regarding asset control 	 Actively identify and implement new methods to improve asset control
	 Ensure that delegation and instructions as required by National Treasury guidelines are reviewed and updated 	 Promote National Treasury's regulatory framework for Financial Management 	 Display professionalism in dealing with financial data and processes
	 Identify and implement proper monitoring and evaluation practices to ensure appropriate 		



CLUSTER:		LEADING COMPETENCIES	XES		
COMPETENCY NAME:	- No. 100	Change Leadership			
COMPETENCY DEFINITION:		Able to direct and initiate institutiona implement new initiatives and deliver	ite institutional tr	I transformation on all levels in order to successfully professional and quality services to the community	Able to direct and initiate institutional transformation on all levels in order to successfully drive and implement new initiatives and deliver professional and quality services to the community
		ACHIEVEMENT			
BASIC	CON	COMPETENT		ADVANCED	SUPERIOR
 Display an awareness of change interventions and the benefits of transformation initiatives 	 Perform an a change impa political and environment 	Perform an analysis of the change impact on the social, political and economic environment	 Actively and res to relevant 	Actively monitor change impact and results and convey progress to relevant stakeholders	Sponsor change agents and create a network of change leaders who support the interventions
 Able to identify basic needs for change 	Maintain c change	Maintain calm and focus during change	 Secure by sponsors initiatives 	Secure buy-in and sponsorship for change initiatives	 Actively adapt current structures and processes to incorporate the change interventions
 Identify gaps between the current and desired state 	Able to ass during cha focused or	Able to assist team members during change and keep them focused on the deliverables	 Continuously strategy and introduce nev enhance the interpretation 	Continuously evaluate change strategy and design and introduce new approaches to enhance the institution's effectiveness	 Mentor and guide team members on the effects of change, resistance factors and how to integrate change
 Identify potential risk and challenges to transformation, including resistance to change factors 	Volunteer outside of	Volunteer to lead change efforts outside of own work team	 Build an with var establist facilitatin 	Build and nurture relationships with various stakeholders to establish strategic alliance in facilitating change	Motivate and inspire others around change initiatives
 Participate in change programs and piloting change interventions 	 Able to gain buy-in ar approval for change f relevant stakeholders 	Able to gain buy-in and approval for change from relevant stakeholders	 Take the change 	Take the lead in impactful change programs	
 Understand the impact of change interventions on the institution within the broader scope of local government 	 Identify change re and assist in reso to change factors 	Identify change readiness levels and assist in resolving resistance to change factors	 Benchm interven change 	Benchmark change interventions against best change practices	
	 Design change that are aligne institution's strate and goals 	aligned with the strategic objectives	 Understand the psychology of remedial interv to facilitate effet transformation 	Understand the impact and psychology of change and put remedial interventions in place to facilitate effective transformation	
			 Take calculated ideas from best and identify t implementation 	Take calculated risk and seek new ideas from best practice scenarios and identify the potential for implementation	



CLUSTER	LEADING COMPETENCIES		
COMPETENCY NAME:	Governance Leadership		
COMPETENCY DEFINITION:	Able to promote, direct and apply professionalism in managing risk and compliance requirements and apply a thorough understanding of governance practices and obligations. Further, able to direct the conceptualization of relevant policies and enhance cooperative governance relationships	nalism in managing risk and compliance re obligations. Further, able to direct the corins	equirements and apply a thorough nceptualization of relevant policies and
	ACHIEVEMENT LEVELS	MENT	
BASIC	COMPETENT	ADVANCED	SUPERIOR
 Display a basic awareness of risk, compliance and governance factors but require guidance and development in implementing such requirements 	 Display a thorough understanding of governance and risk and compliance factors and implement plans to address these 	 Able to link risk initiatives into key institutional objectives and drivers 	 Demonstrate a high level of commitment in complying with governance requirements
Understand the structure of cooperative government but requires guidance on fostering workable relationships between stakeholders	 Demonstrate understanding of the techniques and processes for optimising risk taking decisions within the institution 	 Identify, analyse and measure risk, create valid risk forecasts and map risk profiles 	 Implement governance and compliance strategy to ensure achievement of institutional objectives within the legislative framework
 Provide input into policy formulation 	Actively drive policy formulation within the institution to ensure the achievement of objectives	 Apply risk control methodology and approaches to prevent and reduce risk that impede on the achievement of institutional objectives 	 Able to advise local government on risk management, best practice interventions and compliance management
		 Demonstrate a thorough understanding of risk retention plans 	 Able to forge positive relationships on cooperative governance level to enhance the effectiveness of local government
		 Identify and implement comprehensive risk management systems and processes 	 Able to shape, direct and drive the formulation of policies on a macro level
		 Implement and monitor the formulation of policies, identify and analyse constraints and challenges with implementation and provide recommendations for improvement 	
		ioi inprovement	



A LOTTO			
CEOSIER	CORE COMPETENCIES		
COMPETENCY NAME:	Moral Competence		
COMPETENCY DEFINITION:	Able to identify moral triggers, apply reasoning that promot reflects moral competence	ning that promotes honesty and integrity a	tes honesty and integrity and consistently display behavior that
	ACHIEVEMEN	EMENT	
BASIC	COMPETENT	ADVANCED	SUPERIOR
 Realise the impact of acting with integrity, but requires guidance and development in implementing principles 	 Conduct self in alignment with the values of local government and the institution 	 Identify, develop and apply measures of self-correction 	 Create an environment conducive of moral practices
 Follow basic rules and regulations of the institution 	 Able to openly admit own mistakes and weaknesses and seek assistance from others when unable to deliver 	 Able to gain trust and respect through aligning actions with commitments 	 Actively develop and implement measures to combat fraud and corruption
 Able to identify basic moral situations, but requires guidance and development in understanding and reasoning with moral intent 	 Actively report fraudulent activity and corruption with local government 	 Make proposals and recommendations that are transparent and gain the approval of relevant stakeholders 	 Set integrity standards and shared accountability measures across the institution to support the objectives of local government
	 Understand and honor the confidential nature of matters without seeking personal gain 	 Present values, beliefs and ideas that are congruent with the institution's rules and regulations 	 Take responsibility for own actions and decisions, even if the consequences are unfavorable
	 Able to deal with situations of conflict of interest promptly and in the best interest of local 	 Takes an active stance against corruption and dishonesty when noted 	
	government	 Actively promote the value of the institution to internal and external stakeholders 	
		 Able to work in unity with a team and not seek personal gain 	
		Apply universal moral principles consistently to achieve moral decisions	



CLUSTER:	CORE COMPETENCIES		
COMPETENCY NAME:	Planning and Organising		
COMPETENCY DEFINITION:	Able to plan, prioritise and organise information and resources effectively to ensure the quality of service delivery and build efficient contingency plans to manage risk	ation and resources effectively to ensure the risk	ne quality of service delivery and
	ACHIEVEMENT LEVELS	MENT	
BASIC	COMPETENT	ADVANCED	SUPERIOR
 Able to follow basic plans and organise tasks around set objectives 	 Actively and appropriately organise information and resources required for a task 	 Able to define institutional objectives, develop comprehensive plans, integrate and coordinate activities and assign appropriate resources for 	 Focus on broad strategies and initiatives when developing plans and actions
 Understand the process of planning and organising but requires guidance and development in providing detailed and comprehensive 	 Recognise the urgency and importance of tasks 	 successful implementation Identify in advance required stages and actions to complete tasks 	 Able to protect and forecast short, medium and long term requirements of the institution and local government
 Able to follow existing plans and ensure that objectives are met 	Balance short and long-term plans and goals and incorporate into the team's performance objectives	 Schedule realistic timelines, objectives and milestones for tasks and projects 	 Translate policy into relevant projects to facilitate the achievement of institutional objectives
 Focus on short-term objectives in developing plans and actions 	 Schedule tasks to ensure they are performed within budget and with efficient use of time and resources 	 Produce clear, detailed and comprehensive plans to achieve institutional objectives 	
 Arrange information and resources required for a task, but require further structure and organisation 	Measures progress and monitor performance results	 Identify possible risk factors and design and implement appropriate contingency plans 	
		 Adapt plans in light of changing circumstances 	
		 Prioritise tasks and projects according to their relevant urgency and importance 	



CLUSTER:	CORE COMPETENCIES		
COMPETENCY NAME :	Analysis and Innovation		
COMPETENCY DEFINITION :	Able to critically analyse information, challenges and trends to establish and implement fact-based solutions that are innovative to improve institutional processes in order to achieve key strategic objectives	nges and trends to establish and implement fact-	nt fact-based solutions that actives
	ACHIEVEMEN	MENT	
BASIC	COMPETENT	ADVANCED	SUPERIOR
 Understand the basic operation of analysis, but lack detail and thoroughness 	 Demonstrate logical problem solving techniques and approaches and provide rationale for recommendations 	 Coaches team members on analytical and innovative approaches and techniques 	 Demonstrate complex analytical and problem solving approaches and techniques
 Able to balance independent analysis with requesting assistance from others 	 Demonstrate objectivity, insight and thoroughness when analysing problems 	 Engage with appropriate individuals in analysing and resolving complex problems 	 Create an environment conducive to analytical and fact-based problem solving
 Recommend new ways to perform tasks within own function 	 Able to break down complex problems into manageable parts and identify solutions 	 Identify solutions on various areas in the institution 	 Analyse, recommend solutions and monitor trends in key challenges to prevent and manage occurrence
 Propose simple remedial interventions that marginally challenges the status quo 	 Consult internal and external stakeholders on opportunities to improve processes and service delivery 	 Formulate and implement new ideas throughout the institution 	 Create an environment that fosters innovative thinking and follows a learning organisation approach
 Listen to the ideas and perspectives of others and 	 Clearly communicate the benefits of new 	 Able to gain approval and buy-in for 	 Be a thought leader on innovative customer service
explore opportunities to enhance such innovative thinking	opportunities and innovative solutions and stakeholders	proposed interventions from relevant stakeholders	delivery and process optimisation
	 Continuously identify opportunities to enhance internal processes 	 Identify trends and best practices in process and service delivery and propose institutional application 	 Play an active role in sharing best practice solutions and engage in national and international local government seminars and conferences
	 Identify and analyse opportunities conducive to innovative approaches and propose remedial intervention 	Continuously engage in research to identify client needs	



CLUSTER:	CORE COMPETENCIES	S	
COMPETENCY NAME:	Knowledge and Information Management	nation Management	
COMPETENCY DEFINITION:	Able to promote the generation and share and media, in order to enhance the colle	Able to promote the generation and sharing of knowledge and information through and media, in order to enhance the collective knowledge base of local government	ring of knowledge and information through various processes
	ACHIE	ACHIEVEMENT	
BASIC	COMPETENT	ADVANCED	SUPERIOR
 Collect, categorise and track relevant information required for specific tasks and projects 	Use appropriate information systems and technology to manage institutional knowledge and information sharing	Effectively predict future information and knowledge management requirements and systems	 Create and support a vision and culture where team members are empowered to seek, gain and share knowledge and information
 Analyse and interpret information to draw conclusions 	 Evaluate data from various sources and use information effectively to influence decisions and provide solutions 	 Develop standards and processes to meet future knowledge management needs 	 Establish partnerships across local government to facilitate knowledge management
 Seek new sources of information to increase the knowledge base 	 Actively create mechanisms and structures for sharing information 	 Share and promote best-practice knowledge management across various institutions 	 Demonstrate a mature approach
Regularly share information and knowledge with internal stakeholders and team members	 Use external and internal resources to research and provide relevant and cutting-edge knowledge to enhance institutional effectiveness and efficiency 	 Establish accurate measures and monitoring systems for knowledge and information management 	 Recognise and exploit knowledge points in interactions with internal and external stakeholders
		 Create a culture conducive of learning and knowledge sharing Hold regular knowledge and information sharing sessions to elicit new ideas and share best practice approaches 	je.



CLUSTER	CORE COMPETENCIES		
COMPETENCY NAME:	Communication		
COMPETENCY DEFINITION:	Able to share information, knowledge and ideas in a clear, order to effectively convey, persuade and influence stakeh	Able to share information, knowledge and ideas in a clear, focused and concise manner appropriorder to effectively convey, persuade and influence stakeholders to achieve the desired outcome	focused and concise manner appropriate for the audience in olders to achieve the desired outcome
	ACHIE	ACHIEVEMENT LEVELS	THE RESERVED
BASIC	COMPETENT	ADVANCED	SUPERIOR
 Demonstrate an understanding for communication levers and tools appropriate for the audience, but requires guidance in utilising such tools 	 Express ideas to individuals and groups in formal and informal settings in a manner that is interesting and motivating 	 Effectively communicate high- risk and sensitive matters to relevant stakeholders 	 Regarded as a specialist in negotiations and representing the institution
 Express ideas in a clear and focused manner, but does not always take the audience into consideration 	 Able to understand, tolerate and appreciate diverse perspectives, attitudes and beliefs 	 Develop a well-defined communication strategy 	 Able to inspire and motivate others through positive communication that is impactful and relevant
 Disseminate and convey information and knowledge adequately 	 Adapt communication content and style to suit the audience and facilitate optimal information transfer 	 Balance political perspectives with institutional needs when communicating viewpoints on complex issues 	 Creates an environment conductive to transparent and productive communication and critical appreciate conversations
	 Deliver content in a manner that gains support, commitment and agreement from relevant stakeholders 	 Able to effectively direct negotiations around complex 	 Able to coordinate negotiations at different levels within local government and externally
	 Compile clear, focused, concise and well-structured written documents 	 Market and promote the institution to external stakeholders and seek to enhance a positive image of the institution 	
		 Able to communicate with the media with high levels of moral competence and discipline 	



ō	Outcomes Expected	Suggested training and for development advists.	Suggested mode of delivery	Suggested Time Frames	Work opportunity created to practice skill/development area	Support Person
Star overall	اد -	Obstan MF-C RPL Winitums atter overall compating	RPL subinishon, of assayments	3 Journay 202	RPL submission, of assayments 3 January 2022 in terms of the PMA Mic Villor	Mr Vic Viljoen RPL Collega
Š		X	5	31 Werth 2022	3	Mr Vic Viljorum

Signed and accepted by the Employee Chief Financial Officer

Date: 30 Sytember 2021

Signed by the Acting Municipal Manager on behalf of the Municipality

20/03/2021

Date:

Personal Development Plan



CLUSTER:	CORE C	CORE COMPETENCIES				
COMPETENCY NAME:	Results	Results and Quality Focus				
COMPETENCY DEFINITION :	Able to respectate	Able to maintain high quality standards, fo expectations and encourage others to mee against identified objectives	cus on a	Able to maintain high quality standards, focus on achieving results and objectives while consistently striving to exceed expectations and encourage others to meet quality standards. Further, to actively monitor and measure results and quality against identified objectives	nile con nitor ar	sistently striving to exceed neasure results and quality
		ACHIEVEMENT LEVELS	EMENT		ď	
BASIC		COMPETENT		ADVANCED	į.	SUPERIOR
 Understand quality of work but requires guidance in attending to important matters 	•	Focus on high-priority actions and does not become distracted by lower-priority activities	•	Consistently verify own standards and outcomes to ensure quality output	•	Coach and guide others to exceed quality standards and results
 Show a basic commitment to achieving the correct results 	•	Display firm commitment and pride in achieving the correct results	•	Focus on the end result and avoids being distracted	•	Develop challenging, client- focused goals and sets high standards for personal performance
 Produce the minimum level of results required in the role 	•	Set quality standards and design processes and tasks around achieving set standards	•	Demonstrate a determined and committed approach to achieving results and quality standards	•	Commit to exceed the results and quality standards, monitor own performance and implement remedial interventions when required
 Produce outcomes that is of a good standard 	•	Produce output of high quality	•	Follow task and projects through to completion	•	Work with team to set ambitious and challenging team goals, communicating long- and short term expectations
 Focus on the quantity of output but requires development in incorporating the quality of work 	•	Able to balance the quantity and quality and quality of results in order to achieve objectives	•	Set challenging goals and objectives to self and team and display commitment to achieving expectations	•	Take appropriate risks to accomplish goals
 Produce quality work in general circumstances, but fails to meet expectation when under procesure 	• 0 W 00 >	Monitors progress, quality of work and use of resources; provide status updates and make adjustments as needed	•	Maintain a focus on quality outputs when placed under pressure	•	Overcome setbacks and adjust action plans to realise goals
			•	Establishing institutional systems for managing and assigning work, defining responsibilities, tracking, monitoring and measuring success, evaluating and	•	Focus people on critical activities that yield a high impact
				valuing the work of the institution		

